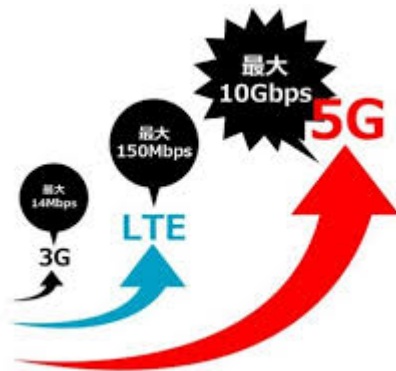


Spectrum for "5G" – where is the problem ?

Jens Zander

Scientific Director, Wireless@KTH
KTH – The Royal Institute of Technology,
Stockholm, Sweden





Outline

- **Why do we need 5G ?**
 - Transparency & mobile data tsunami
 - Things that communicate & the Internet of Senses
- **Who needs more spectrum ?**
 - The two worlds – or are they three ?
- **What spectrum should we be looking for ?**

Why 5G?



Key trend 1: Transparency eats efficiency for breakfast



Why do we have a Data Tsunami?

Dominant designs

- **Internet access** + Cloud based solution = the Dominant Design for all application involving communication – since 2007 also on mobile
- Simple interface **IP** for all "apps" creates explosive growth – works on all platforms
- Inefficient for (almost) all applications: we buy flexibility at the expense of large data volumes data
- Other specific communication technologies (e.g. P2P, Multi-hop) and "one trick ponies" (e.g. Broadcast Radio/TV) become marginalized



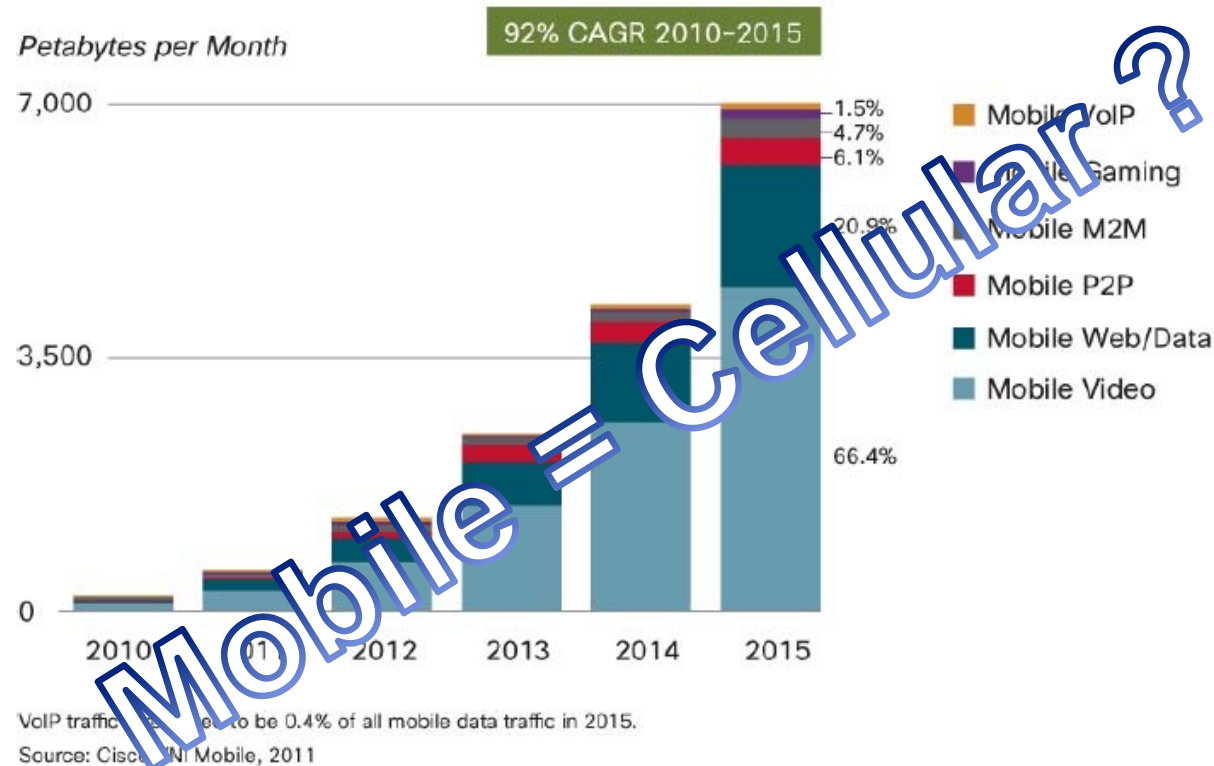
"IP is the answer - now, what was the question ?"

G Q Maguire



The price tag for transparency

– the Mobile Data avalanche (as seen in 2010)



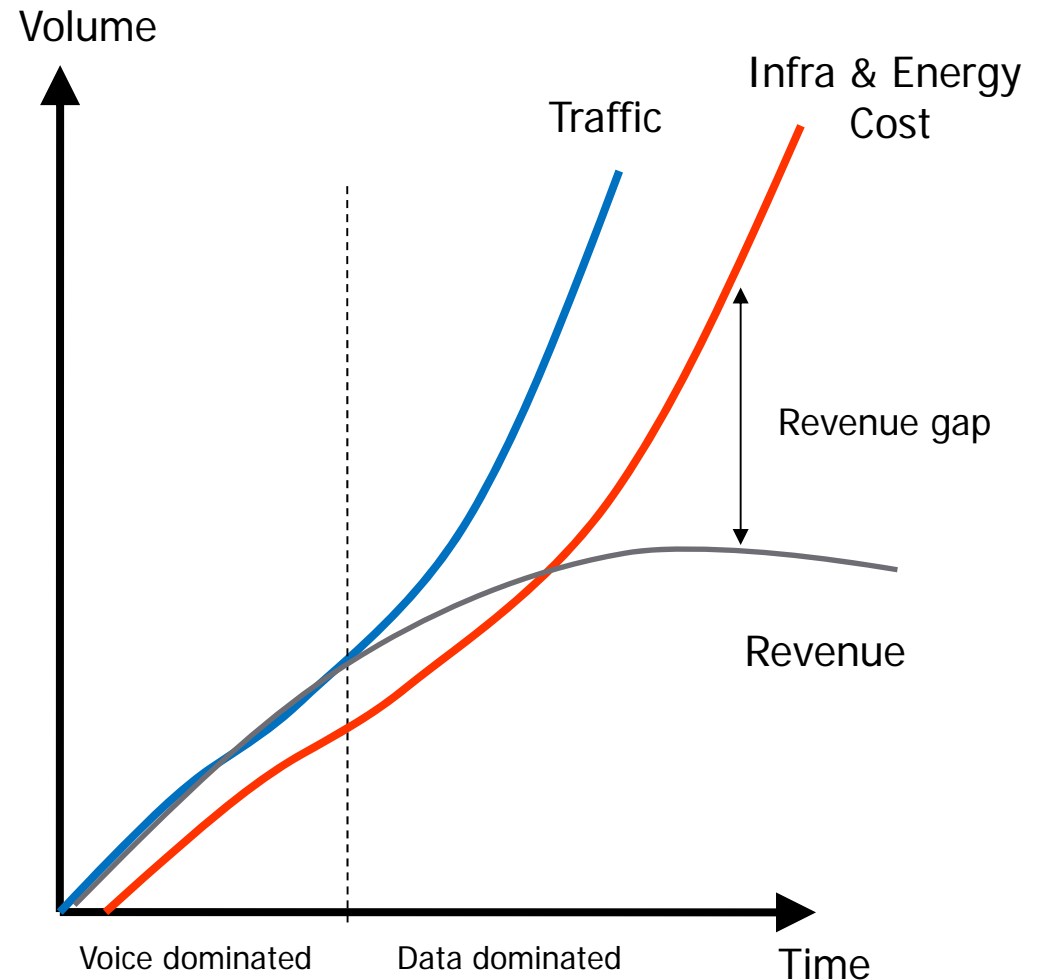
Exponential growth
Assumes **zero marginal cost** for access
How long can this be sustained ?

Operator dilemma: More for less money

- Spending capability of user increases with GNP growth (<10% annually)
- Capacity requirements increase by 80-100% annually

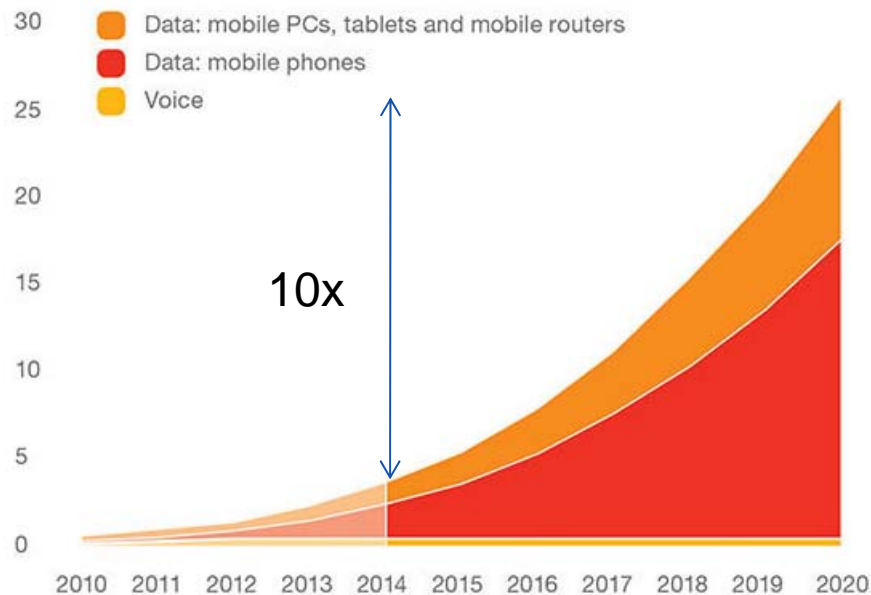
$$C_{SYS} = c_{BS} N_{BS}$$

Challenge:
1000x lower cost/bit



Cellular traffic estimates now more modest

Global mobile traffic (monthly ExaBytes)



Source: Ericsson Mobility Report, Nov 2014

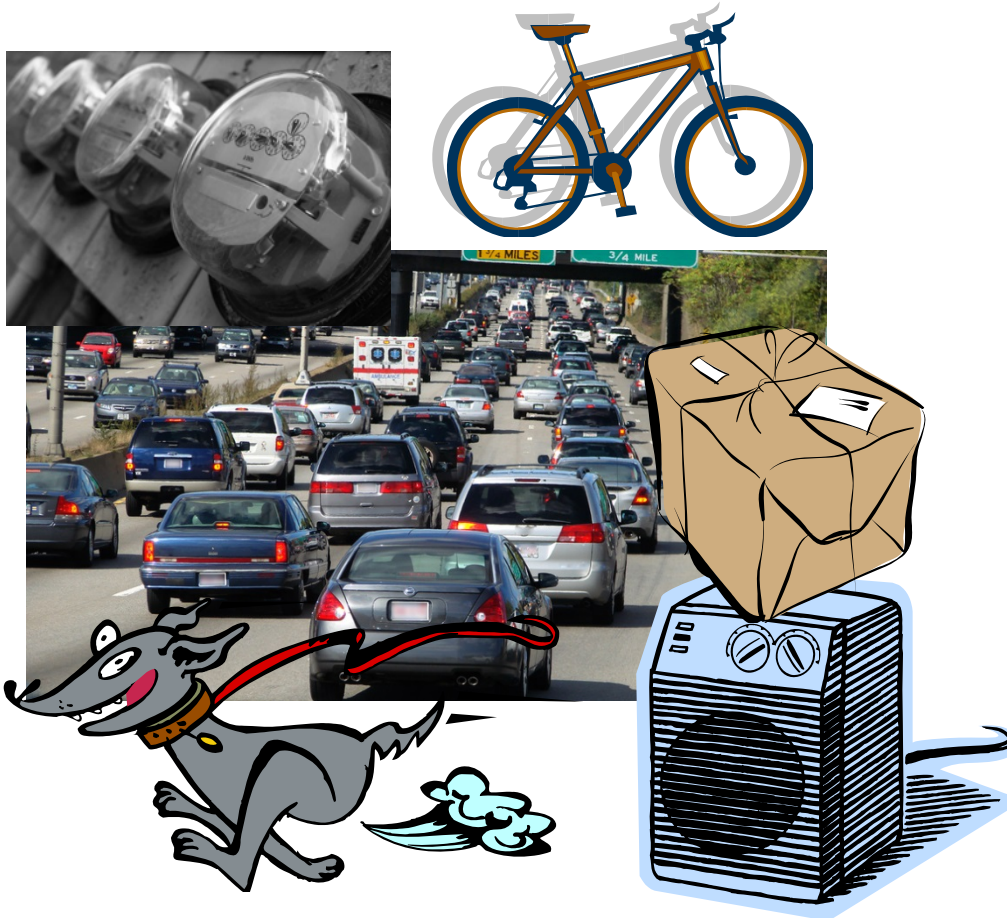
- Market saturation ?
 - Everyone has a smartphone?
- Volume based charging ?
 - "Buckets" instead of "all-you-can-eat"
- Bulk of the traffic off-loaded elsewhere ?
 - WiFi

Why 5G?



Key trend 2: Things that communicate & the Internet of Senses

Things that communicate

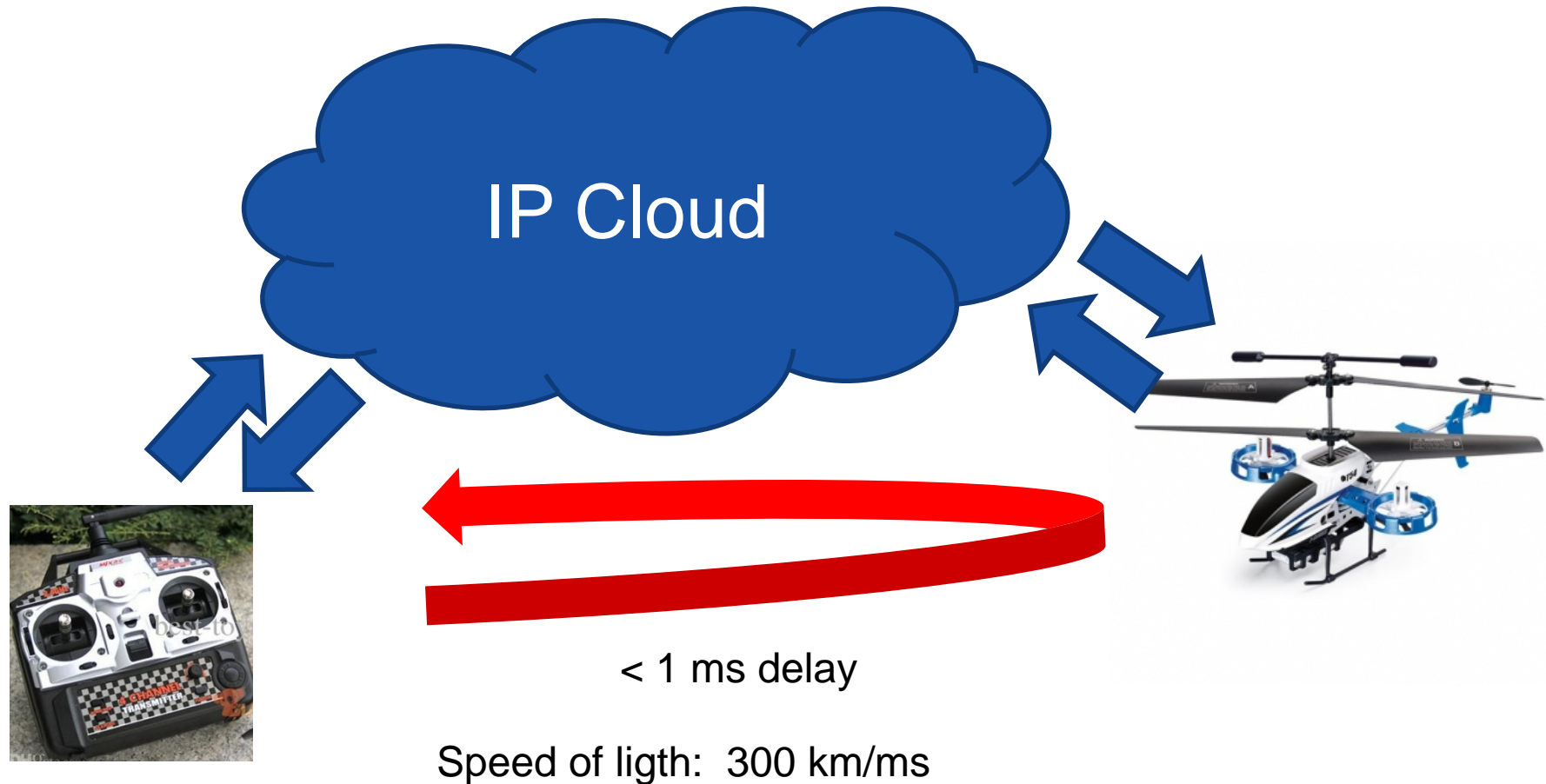


Internet of Things

- Billions of devices
- Low power
- Low cost
- High reliability
- Low delay

4G not a scalable solution
SIM-cards in every device ?

"The internet of senses" (a.k.a. "The Tactile Internet")



Everything under one roof ?

Transparency vs Efficiency



The IP-access world

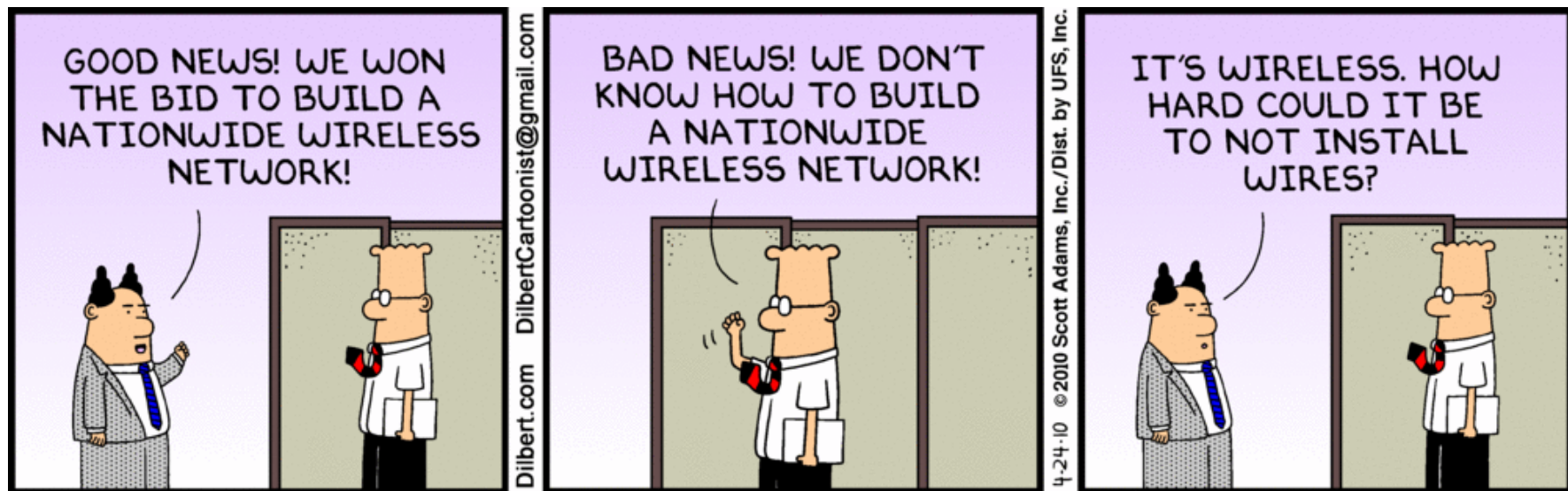
- Large volumes of standardized equipment, unified platforms
- Low efficiency, overprovisioning of resources
- Willingness to pay for flexibility



The MTC world

- Large volumes
- Very diverse requirement on power, delay, cost...
- Non-standardized equipment, no unified platforms
- Rational decisions based on savings

How difficult can it be ?



..and is more spectrum the solution ?



Who needs more spectrum ?



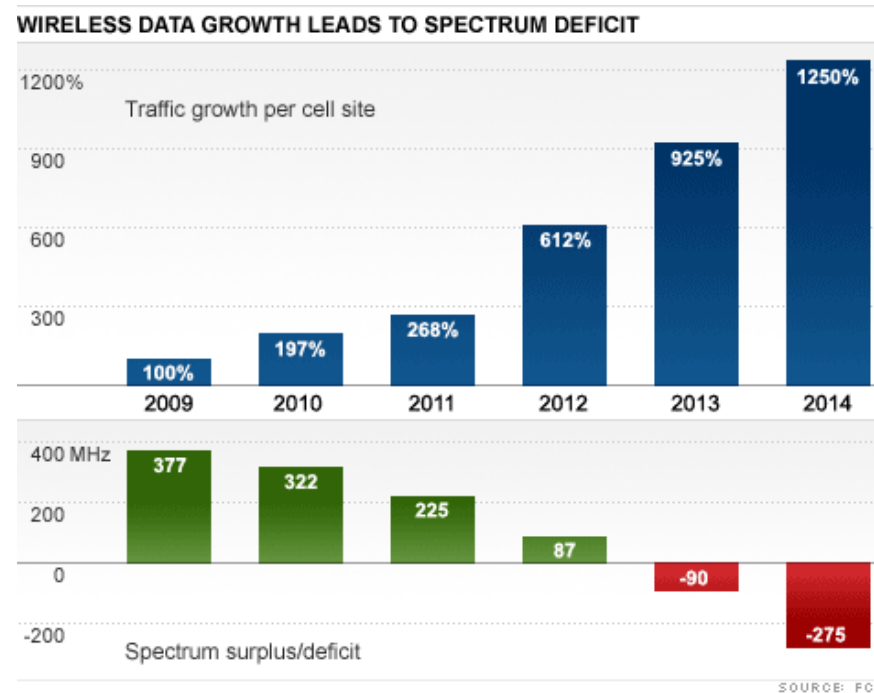
How to increase capacity ?

$$R_{tot} \approx \frac{\eta}{A} N_{BS} W_{sys} \quad C_{SYS} = c_{BS} N_{BS} + c_{sp} W_{sys}$$

- Increase η , spectral efficiency (signal processing)
 - Close to theoretical limits
- More base stations, N_{BS}
 - Expensive
- More spectrum, W_{SYS}
 - Shortage ?



Solving "all" problems with more spectrum - the "FCC – Spectrum deficit"



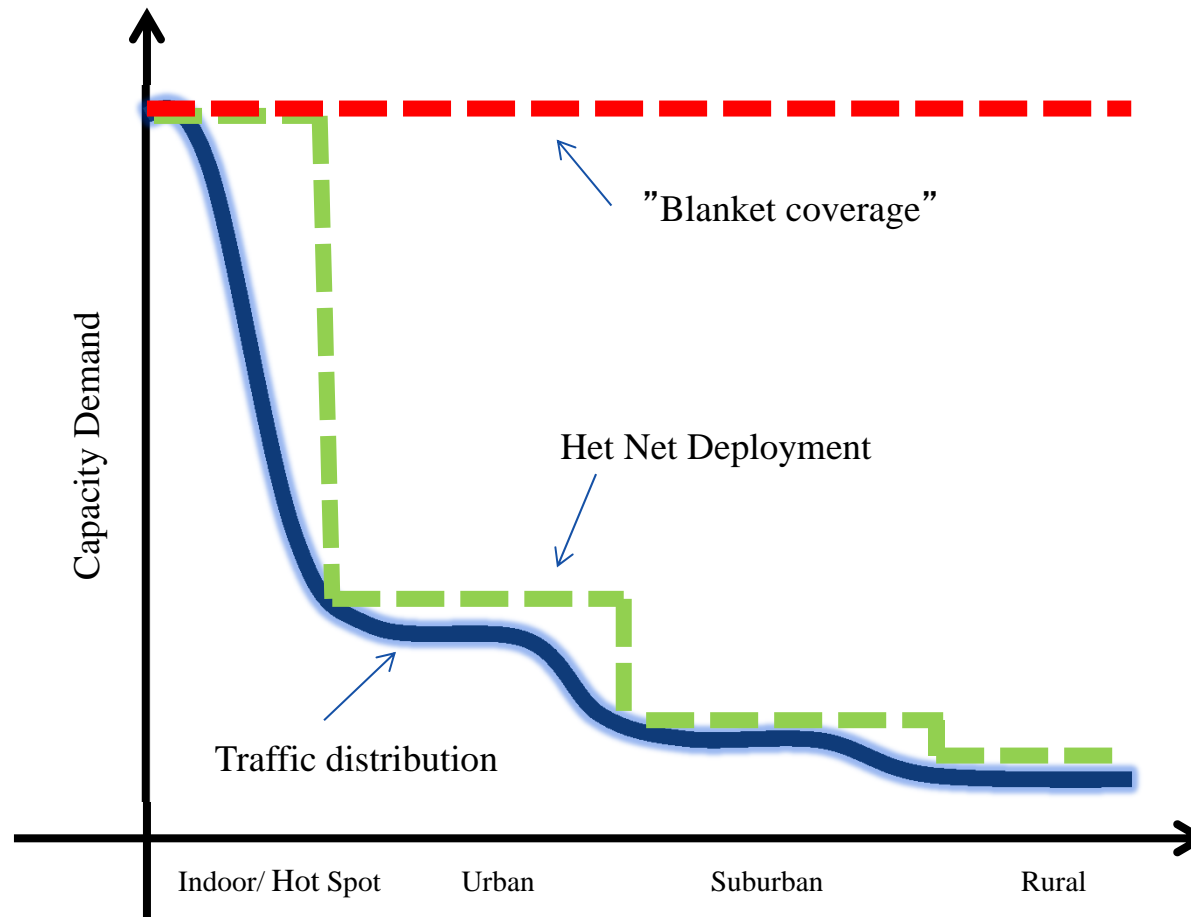
Key assumptions

Reasonable extrapolation of

- current deployment strategies (=moderate increase in base stations)
- transmission technologies.

How to lower the cost:

"HET NET"s – deploy according to demand



HET NETs - The Light Analogy



Outdoor – Wide Area

- Indoor – Short Range



A World Divided – business aspects

The coverage world



Public operators

Access any-time, anywhere
"Insurance" – guaranteed access
Monthly fee

Power/Site/Backhaul
Exclusive spectrum licensing

The capacity world

Facility owners

Sanitary requirement / no charge
User experience – high data rates

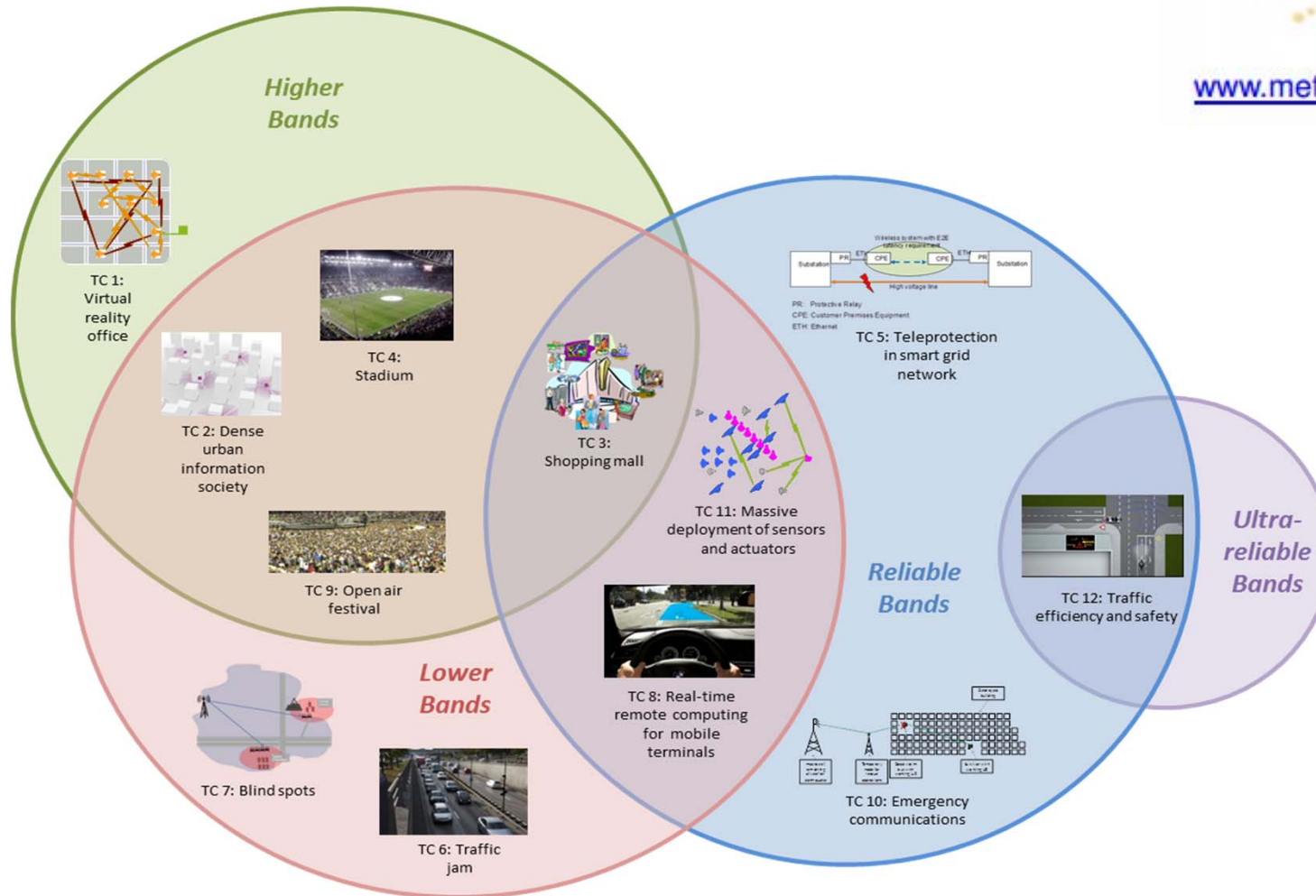
Ultra dense deployment – Interference
(Low power, no site cost, existing backhaul)



Where is the "new" spectrum ?



What kind of spectrum ?



Spectrum options

	Exclusive <6 GHz	Unlicensed < 6 GHz	Secondary <10 GHz	Exclusive > 10 GHz
Availability	Very Low	Moderate	Good (>1 GHz) for <u>indoor use</u>	Very good
Advantages	<ul style="list-style-type: none"> Guaranteed QoS Long-term investments 	<ul style="list-style-type: none"> Spectrum available Low cost equipment/deployment 	<ul style="list-style-type: none"> Spectrum available Low cost equipment/deployment 	Very high capacity Low interference
Disadvantages	High deployment cost	<ul style="list-style-type: none"> No QoS guarantees Low availability 	<ul style="list-style-type: none"> Limited QoS guarantees Regulatory uncertainty 	LOS propagation, Dedicated Deployment

Plenty of spectrum for short range indoor
 - in total close to 1 GHz for wireless access



Spectrum sharing ?

Criteria for successful (secondary) sharing



Different usage patterns

- If primary and secondary systems compete for the same frequency in the same time & space, this will be a competition the secondary will lose.



(Detailed) Knowledge about the primary system behavior

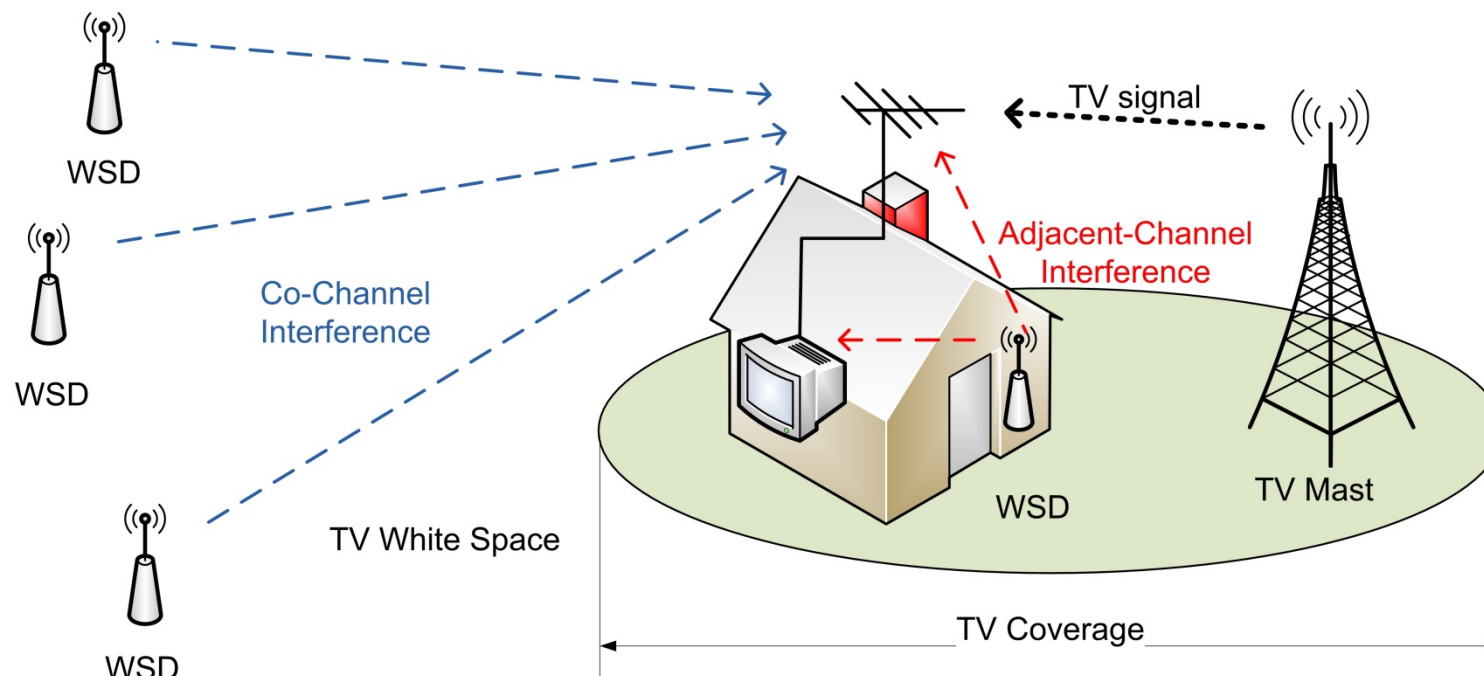
- where are the primary transmitters, when and on which frequencies will they transmit..
- where are the primary receivers and what interference will they tolerate ?



Inefficient spectrum utilization of the primary system spectrum

- e.g. the efficiency of the primary system is limited by legacy technology

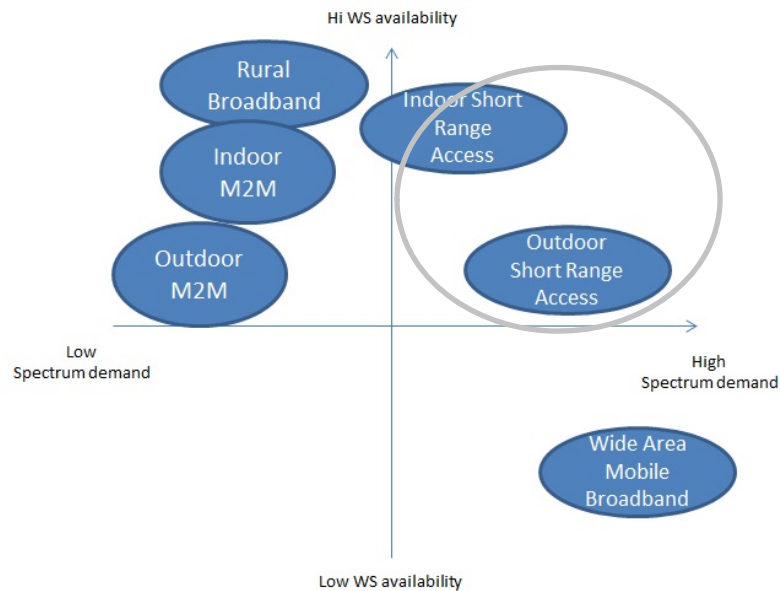
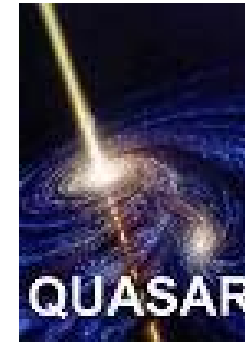
Co-channel & Adjacent channel interference



Lei Shi, "Efficient Spectrum Utilization of UHF Broadcast Band"
Ph.D. Thesis, KTH June 2014



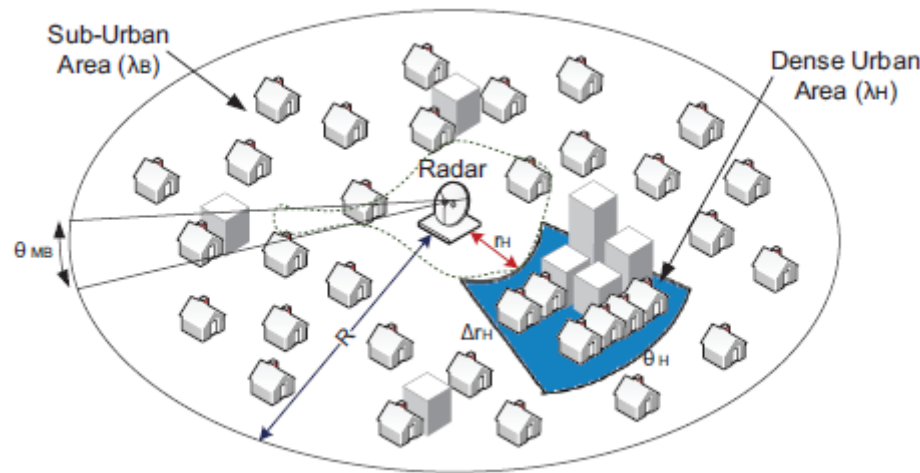
The Commercial Sweetspot of spectrum use



Short range/indoor high capacity systems

Success due to physics - not due to smart regulation or "cognitive" technology

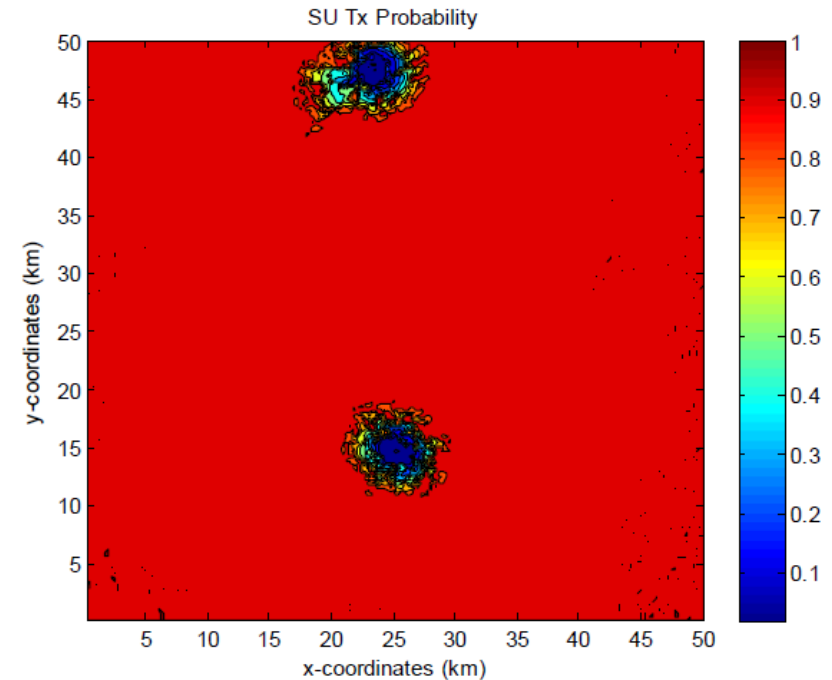
Example: ATC radar spectrum shared indoor



Different usage patterns ✓

(Detailed) Knowledge about the primary system behavior ✓

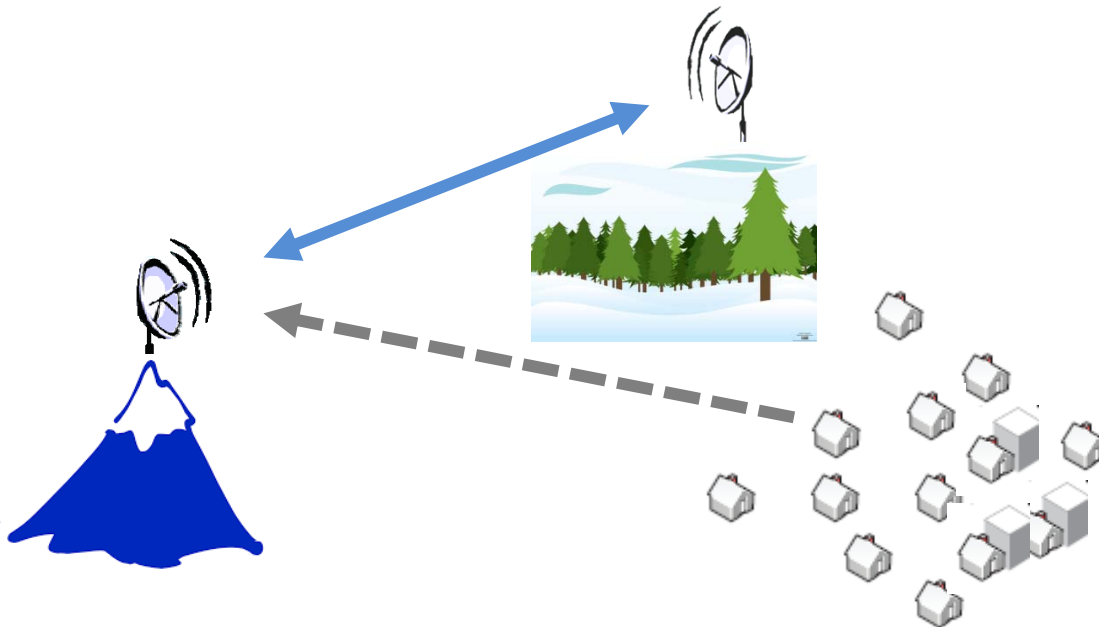
Inefficient spectrum utilization of the primary system spectrum ✓



Stockholm case study
Co-channel, Outdoor, $P=10\text{dBm}$ $h=1,5\text{m}$
600 users/sqkm, 15% activity

E Obregon et al "On the Sharing Opportunities for Ultra-Dense Networks in the Radar Bands"
IEEE International Symposium on Dynamic Spectrum Access Networks (DYSPAN), McLean, VA, USA, April 1-4, 2014

Microwave link – Indoor sharing scenario



- Different usage patterns (spatial separation) ✓
- (Detailed) Knowledge about the primary system behavior ✓
- Inefficient spectrum utilization of the primary system spectrum (very limited spatial region) ✓



Key Trends in spectrum sharing

Today	Tomorrow
Transmitter specification	Receiver specification
Interference Limits	"Pain Sharing
Secondary access	Sharing / Co-primary

Where are we heading - spectrumwise?



Wide-Area outdoor

- Large, long-term infrastructure investments (>> spectrum cost)
- Low frequencies (<3 GHz)
- Wide coverage → interference with other services

Exclusive
licensing



Mobile short range, indoor

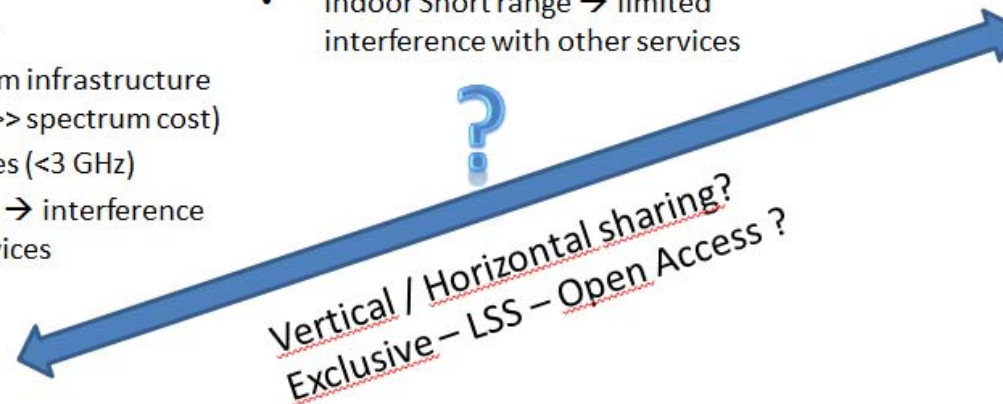
- Low/moderate investment
- Moderate frequencies (3-30 GHz)
- Indoor Short range → limited interference with other services



Millimeter-Wave, short range, indoor

- Low investment
- High frequencies (>30 GHz)
- Very short range → very limited interference with other services

Open Access



Where are we heading - spectrumwise?

Wide area access

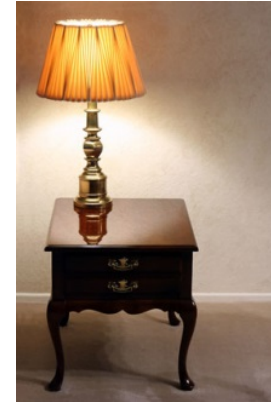
Spectrum needed to lower infrastructure cost

Block-licensed spectrum to match long-term
RF-specific investment (<3 GHz)

Repurposing of UHF from TV -> IP access

- Digital dividends 800, 700, 600 MHz etc

Millimeter-waves to get exclusive spectrum?



Short range access

Plenty of potential spectrum <10 GHz

Higher frequencies (>3 GHz) for high
capacity (lower interference)

Local & temporal spectrum regimes (National
Block-licensing inefficient)

Unlicensed, Secondary, LSA, "Instant
licensing"

Infrastructure vs Spectrum Sharing ?

Some conclusions



- Wireless Cloud Access – the dominant design of future services !?
- Indoor ultra-dense deployment – a completely different ballgame
 - Systems constraints
 - Spectrum sharing feasible
- Spectrum not really a fundamental limiting factor for capacity
 - Matching to infrastructure investment life cycle